

Invention Disclosure Statement Transmittal

Docket No: TLME-99-001.2

Patent #11

2768
mm
2-901

I hereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to the Commissioner of Patents and Trademarks, Washington, D.C., 20231, on the below date of deposit.			
Date of Deposit:	6/22/01	Name of Person Making the Deposit:	Karen Yarr
		Signature of the Person Making the Deposit:	Karen Yarr

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Partovi et al.

Serial No.: 09/466,236

Group Art Unit: 2768

Filed: 12/17/99

Examiner: Not yet assigned

Title: METHOD AND APPARATUS FOR ELECTRONIC COMMERCE USING A TELEPHONE INTERFACE

The Commissioner of Patents and Trademarks
Washington, D.C. 20231
Sir:

Information Disclosure Statement Transmittal

Transmitted herewith is the following:

- Formal drawings, totaling sheets.
- Informal drawings, totaling sheets.
- ☒ Certification for PTO Consideration
- ☒ Information Disclosure statement (1 sheets)
- Information Disclosure statement and late filing fee
- ☒ Form 1449
- Petition for Extension of Time
- Other:

Fee Calculation (for other than a small entity)

Fee Items	Fee Rate	Total
Petition for Extension of Time (fee calculated elsewhere)	\$.00	0.00
Information Disclosure Statement, late filing	\$240.00	0.00
Other:		
Total Fees		0.00

PAYMENT OF FEES

1. The full fee due in connection with this communication is provided as follows:
 - [] The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No.: 23-0085.
A duplicate copy of this authorization is enclosed.
 - [] A check in the amount of \$
 - [X] Charge any fees required or credit any overpayments associated with this filing to Deposit Account No.: 23-0085.

Please direct all correspondence concerning the above-identified application to the following address:


WAGNER, MURABITO & HAO LLP
Two North Market Street, Third Floor
San Jose, California 95113
(408) 938-9060

Respectfully submitted,

Date: _____

6/21/2001

By: _____


Anthony C. Murabito
Reg. No. 35,295

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JUL 3 2001
Technology Center 2100



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: TLME-99-001.2

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Date of Deposit:	6/22/01	Name of Person Making the Deposit:	Karen Yarr	Signature of the Person Making the Deposit:	Karen Yarr
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Title: METHOD AND APPARATUS FOR ELECTRONIC COMMERCE USING A TELEPHONE INTERFACE

The Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Certification for PTO Consideration of an Information Disclosure Statement
(Under 37 CFR §1.97)

Consideration of the enclosed Information Disclosure Statement is requested.

1. This certification is being made for this information disclosure statement

☒ [X] accompanying this certification

☐ [] Filed _____

2. I hereby certify that:

☒ X Each item of information contained in the information disclosure

statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

☐ No item of information contained in the information disclosure

statement was cited in a communication from a foreign patent office in a counterpart application, or, to the knowledge of the person signing certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement.

3. The person making this certification is

☒ [x] a person who is substantively involved in the preparation or prosecution of the application, and who is associated with the inventor, with the assignee, or with anyone to whom there is an obligation to assign the application (37 C.F.R. 1.56 (c)) and who signs below.

☐ [] the inventor(s) who signs below

☐ [] the practitioner who signs below on the basis of the information:

☐ [] supplied by the inventors

☐ [] supplied by an individual designated in § 1.56(c)

☐ [] in the practitioners file

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(408) 938-9060

Respectfully submitted,

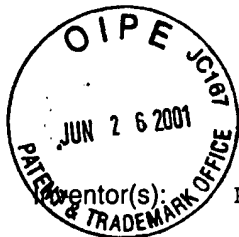
Date: _____

6/21/2001

By: _____

[Signature]
Anthony C. Murabito
Reg. No. 35,295

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: TLME-99-001.2

Inventor(s): Partovi et al.

Serial No.: 09/466,236

Group Art Unit: 2768

Filed: 12/17/99

Examiner: Not yet assigned

Title: METHOD AND APPARATUS FOR ELECTRONIC COMMERCE USING A TELEPHONE INTERFACE

The Commissioner of Patents and Trademarks
Washington, D.C. 20231
Sir:

Information Disclosure Statement Submitted Pursuant to 37 C.F.R. 1.97(b)

The citations referenced herein, copies attached, may be material to the examination of the above-identified application and are, therefore, submitted in compliance with the duty of disclosure as defined in 37 C.F.R. 1.56. The Examiner is requested to make these citations of official record in the application.

This Information Disclosure Statement submitted in accordance with 37 C.F.R. 1.97(b) is not to be construed as a representation that a search has been made, that additional items material to the examination of this application do not exist, or that any one or more of these citations constitute prior art under 35 U.S.C. 102.

The Examiner's attention is respectfully directed to the following U.S. Patents:

<u>Pat. No.</u>	<u>Pat. Title</u>	<u>Grant Date</u>
*5,915,001	SYSTEM AND METHOD FOR PROVIDING AND USING UNIVERSALLY ACCESSIBLE VOICE AND SPEECH DATA FILES	6/22/99
Foreign Patent or Published Foreign Patent Application		
EP0845894A2	A SYSTEM FOR ACCESSING MULTIMEDIA MAILBOXES AND MESSAGES OVER THE INTERNET AND VIA TELEPHONE	6/3/98
WO 99/00758	SYSTEM AND METHOD FOR AUTOMATICALLY PROVIDING FINANCIAL SERVICES TO A USER USING SPEECH SIGNALS	1/7/99
WO 98/10361	CONDITIONAL PURCHASE OFFER MANAGEMENT SYSTEM	3/12/98

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WAGNER, MURABITO & HAO LLP
Two North Market Street, Third Floor
San Jose, California 95113
(408) 938-9060

Respectfully submitted,

Date:

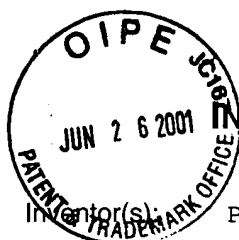
6/21/2001

By:

Anthony C. Murabito
Reg. No. 35,295

* These references were submitted to the USPTO on Form 1449 and IDS Form, submitted March 23, 2001.

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Attorney Docket No.: TLME-99-001.2**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**Patent ApplicationInventor(s): Partovi et al.Serial No.: 09/466,236Group Art Unit: 2768Filed: 12/17/99Examiner: Not yet assignedTitle: METHOD AND APPARATUS FOR ELECTRONIC COMMERCE USING A TELEPHONE INTERFACEForm 1449**U.S. Patent Documents**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A	*5,915,001	6/22/99	Uppaluru	379	88.22	11/14/96
	B						
	C						
	D						
	E						
	F						
	G						
	H						
	I						
	J						

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	K	EP0845894A2	6/3/98	EPO	H04M	3/50	XX	
	L	WO 99/00758	1/7/99	PCT	G06F	17/60	XX	
	M	WO 98/10361	3/12/98	PCT	G06F	17/60		

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	N	
	O	
	P	
	Q	
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

* These references were submitted to the USPTO on Form 1449 and IDS Form, submitted March 23, 2001.